

Robert Greene Steme Edward J. Kessler Jorge A. Goldstein David K.S. Comwell Robert W. Esmond Tracy-Gene G. Durkin Michael B. Ray Robert E. Sokohl Eric K. Steffe Michael Q. Lee Steven R. Ludwig John M. Covert Linda E. Alcom Robert C. Millonig Lawrence B. Bugaisky Donald J. Featherston Michael W. Messinger

Imothy J. Shea, It.
Patrick E. Garrett
Jeffrey T. Helvey*
Heidi L. Kraus
Crystal D. Sayles
Edward W. Yee
Albert L. Ferno*
Donald R. Banowit
Peter A. Jackman
Molly A. McCall
Teresa U. Medler
Jeffrey S. Weaver
Kendrick P. Patterso
Vincent L. Capuano
Albert J. Fasulo II*
Eldora Ellison Floyd
W. Russell Swindell

Thomas C. Fiala Brian J. Del Bunno Virgil Lee Beaston' Reginald D. Lucas' Kumberly N. Reddick Theodore A. Wood Elizabeth J. Haanes Bruce E. Chalker Joseph S. Ostroff Frank R. Cottingham Christine M. Lhulier Rae Lynn Prengaman Jane Shershenovich' Lawrence J. Carroll' George S. Bardmesser Daniel A. Kilen' Rodney G. Maze Jason D. Eisenberg Michael A. Specht'

Adrea I. Kamage
Nancy J. Leith
Ann E. Summerfield
Helene C. Carlson
Gaby L. Longsworth
Matthew J. Dowd
Aaron L. Schwartz
Angelique G. Uy
Borls A. Matvenko
Mary B. Tung
Katrina Y. Pei
Bryan L. Skelton
Robert A. Schwartzman
John J. Figueroa
Timothy A. Doyle
Jennifer R. Mahalinaanaa

Registered Patent Agents
Karen R Markowicz

Senior Counsel Samuel L. Fox Kenneth C. Bass III Lisa A. Dunner

Admitted only in Maryland
Admitted only in Virginia
Admitted only in Texas

Practice Limited to Federal Agencies

October 11, 2002

WRITER'S DIRECT NUMBER: (202) 371-2560 INTERNET ADDRESS: RESMOND@SKGF.COM

Commissioner for Patents Washington, D.C. 20231

Hand-Carry to Art Unit 1653

RECEIVED

OCT 1 5 2002

TECH CENTER 1600/2900

Re:

U.S. Utility Patent Application

Appl. No. 09/998,780; Filed: December 3, 2001

For: Endotoxin Binding and Neutralizing Protein and Uses Thereof

Inventors:

Wainwright et al.

Our Ref:

1413.001000B/RWE/MTT

Sir:

Transmitted herewith for appropriate action are the following documents:

1. Information Disclosure Statement;

2. List of cited references on Form PTO-1449 (19 sheets);

3. A copy of each reference cited on Form PTO-1449 (83 references);

4. First Supplemental Information Disclosure Statement;

5. List of cited references on Form PTO-1449 (1 sheet);

6. A copy of each reference cited on Form PTO-1449 (1 reference);

7. Second Supplemental Information Disclosure Statement;

8. List of cited references on Form PTO-1449 (2 sheets);

9. A copy of each reference cited on Form PTO-1449 (7 references);

10. Third Supplemental Information Disclosure Statement;

11. List of cited references on Form PTO-1449 (10 sheets);

12. A copy of each reference cited on Form PTO-1449 (32 references); and

13. One (1) Return Postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Sterne, Kessler, Goldstein & Fox PLLC. : 1100 New York Avenue, NW : Washington, DC 20005 : 202.371.2600 † 202.371.2540 : www.skqf.com

Commissioner for Patents October 11, 2002 Page 2

necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Brian J. Del Buons Reg. Do. 42, 473 Robert W. Esmond

Attorney for Applicants Registration No. 32,893

RWE/MTT/dnk Enclosures

::ODMA\MHODMA\SKGF_DC1;64616;1 SKGF Rev. 2/15/02 dcw

IN THE UNITED STATES PATENT AND TRADEMARK OF IECEIVED

In re application of:

Wainwright et al.

Appl. No. 09/998,780

Filed: December 3, 2001

For:

Endotoxin Binding and

Neutralizing Protein and Uses

Thereof

Confirmation No. 7532

OCT 1 5 2002

Art Unit:

1653

TECH CENTER 1600/2900

Examiner:

To Be Assigned

Atty. Docket: 1413.001000B/RWE/MTT

CH CENTER 1600/2900

Information Disclosure Statement

Commissioner for Patents Washington, D.C. 20231

Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98. A copy of each document is provided.

In accordance with 37 C.F.R. § 1.98(a)(3), Applicants' undersigned representative submits the following, concise explanation of the relevance of the non-English language documents AM1, AO1, and AP1 cited on Form PTO 1449:

Document AM1, European Patent Publication No. EP 0 056 210 A2 is in the French language. An English language abstract of document AM1 is attached as document AT27 in Applicants' Third Supplemental Information Disclosure Statement filed herewith.

Document AO1, Japanese Patent Publication No. JP 59-27828 is in the Japanese language. An English language abstract of document AO1 is attached as document AR19.

Document AP1, Japanese Patent Publication No. JP 59-28474 is in the Japanese language. An English language translation of document AP1 is attached as document AR28 in Applicants' Third Supplemental Information Disclosure Statement filed herewith.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached PTO-1449 based on

information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. No statement or fee is required.

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449, and indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Brian J. Del Buono

Reg. No. 42,47

Robert W. Esmond Attorney for Applicants

Registration No. 32,893

Date: ()

1100 Now York Assessed N. W.

1100 New York Avenue, N.W. Suite 600 Washington, D.C. 20005-3934 (202) 371-2600

::ODMA\MHODMA\SKGF_DC1;31684;1 SKGF Rev. 1/24/02 mac

Page 1 of 19

ATTY. DOCKET NO. APPLICA 1413.001000B/RWE/MTT 09/99347 FORM PTO-1449 APPLICANT Wainwright et al. INFORMATION DISCLOSURE STATEMENT FILING DATE GROUP 165BEC| December 3, 2001 U.S. PATENT DOCUMENTS EXAMINER INITIAL DOCUMENT DATE NAME CLASS SUB-FILING DATE NUMBER CLASS AA1 3,944,391 03/16/1976 Harris et al. 12/27/1974 AB1 4,107,077 08/15/1978 Sullivan, Jr. et al. 08/12/1977 AC1 4,188,264 02/12/1980 Iwanaga et .al. 11/01/1977 4,273,557 06/16/1981 AD1 Juranas 03/31/1980 Scha<u>ll, Jr. et al.</u> AE1 4,357,142 11/02/1982 07/18/1980 AF1 4,652,639 03/24/1987 Stabinsky 05/06/1982 AG1 4,713,347 12/15/1987 Mitchell et al. 01/14/1985 AH1 4,758,655 07/19/1988 Houghten 07/09/1987 AI1 4,780,529 10/25/1988 Hao 06/16/1987 AJ1 4,906,567 03/06/1990 Connelly 01/21/1987 AK1 4,918,163 04/17/1990 Young et al. 04/24/1986 FOREIGN PATENT DOCUMENTS EXAMINER INITIAL DOCUMENT DATE COUNTRY CLASS SUB-TRANSLATION NUMBER CLASS Yes AL1 GB 2 019 563 10/31/1979 Great Britain No Yes AM1 0 056 210 07/21/1982 Europe No Yes WO 83/02123 AN1 06/23/1983 PCT No Yes JP 59-027828 A01 02/14/1984 Japan No Yes 02/15/1984 AP1 JP 59-028474 Japan No OTHER (Including Author, Title, Date, Pertinent Pages, etc.) Aketagawa, J., et al., "Primary Structure of Limulus Anticoagulant Anti-AR lipopolysaccharide Factor, J. Biol. Chem. 261:7357-7365, The American Society of Biological Chemists, Inc. (1986) 1 Aldrich Chemical Company, Inc., Catalogue entitled Aldrich Fine Chemicals, p. AS 1 1115 (1986) Alpert, G., et al., "Endotoxin Binding Protein (EBP) Protects Rabbits from AT 1 Meningococcal Endotoxic Shock, Pediatric Res. 27:165A, Abstract No. 973, Lipincott Williams & Wilkins (April 1990) EXAMINER DATE CONSIDERED **EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.



ATTY. DOCKET NO. APPLICATION NO. 09/998,78 1413.001000B/RWE/MTT FORM PTO-1449 APPLICANT Wainwright et al. INFORMATION DISCLOSURE STATEMENT FILING DATE GROUP December 3, 2001 1653 TECH CENTER 1600/2900 U.S. PATENT DOCUMENTS EXAMINER DOCUMENT DATE NAME CLASS SUB-FILING DATE INITIAL CLASS NUMBER 435 212 02/29/1984 AA2 4,977,085 12/11/1990 Sprague et al. 03/19/1991 Taylor et al. 435 4 06/05/1987 AB2 5,001,048 387 530 02/01/1989 Pollack et al. AC2 5,057,598 10/15/1991 11/26/1991 Nakamura et al. 530 317 08/19/1989 AD2 5,068,314 424 534 01/22/1990 Marra et al. AE2 5,089,274 02/18/1992 12/15/1992 Scott 514 12 05/05/1991 AF2 5,171,739 Matuura 435 23 02/23/1989 01/12/1993 ÀG2 5,179,006 05/31/1994 Baek et al. 435 7.9 01/06/1989 5,316,991 AH2 ΑI ΑJ AK FOREIGN PATENT DOCUMENTS EXAMINER CLASS TRANSLATION COUNTRY SUB-INITIAL DOCUMENT DATE CLASS NUMBER No AL2 0 206 783 12/30/1986 Europe Yes No AM2 0 224 830 06/10/1987 Europe -Yes 08/24/1988 No 0 279 517 Europe AN2 Yes 12/28/1989 PCT No A02 WO 89/12644 Yes No OTHER (Including Author, Title, Date, Pertinent Pages, etc.) Alpert, G., et al., "Limulus Antilipopolysaccharide Factor Protects Rabbits from Meningococcal Endotoxin Shock, " J. Infect. Dis. 165:494-500, The University of AR 2 Chicago Press (March 1992) Brade, L., et al., "Characterization of Murine Monoclonal and Murine, Rabbit, and Human Polyclonal Antibodies against Chlamydial Lipopolysaccharide, " Infect. AS <u>2</u> Immun. 58:205-213, American Society for Microbiology (January 1990) Case, M.J., et al., "Detection of Endotoxin in Antibiotic Solutions with Limulus Amoebocyte Lysate," Antimicrob. Agents Chemother. 23:649-652, American Society AT 2 for Microbiology (1983) EXAMINER DATE CONSIDERED EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

							•		Page 3 Of 19	
					ATTY. DOCKET NO. 1413.001000B/RWE/MTT		APPLI 09/99	CATION NO 8,780	•	
			PTO-1449		APPLICANT Wainwright et al.			-		
INFO	RMATIO	N DIS	CLOSURE STA	TEMENT	FILING DATE					
				π ς	PATENT DOCUMENTS		11033			
	т	т	· · · · · · · · · · · · · · · · · · ·	. 0.5.	PATENT DOCUMENTS	1.		Τ	1	
EXAMINER INITIAL		DOC!	UMENT BER	DATE	NAME	CL	ASS	SUB- CLASS	FILING DATE	
	AA									
	AB									
	AC			•				·		
	AD									
	AE									
	AF						-			
	AG									
	АН				·					
	AI				· ·					
	AJ	<u> </u>								
	AK									
				FOREIG	N PATENT DOCUMENTS					
EXAMINER INITIAL		DOC	UMENT BER	DATE	COUNTRY	Cr	ÀSS	SUB- CLASS	TRANSLATION	
<u>^</u>	AL		· · · · · · · · · · · · · · · · · · ·						Yes No	
	AM								Yes No	
	AN	1							Yes No	
* · · · · · · · · · · · · · · · · · · ·		 							Yes No	
	AO		 						Yes	
	AP							<u> </u>	No	
	T		OTHER (Inc.)	uding Author,	Title, Date, Pertinent	Pages,	etc.)		·	
	AR	<u>3</u>	Fail to I	nhibit LPS-Ind	Lipopolysaccharide (LPS) uced Tumor Necrosis Fact. Dis. 159:872-880, The	tor Sec	retion	by Mouse	-Derived	
	AS	<u>3</u>	Polymyxin	B Nonapeptide	Purification, Toxicity, ," <i>Antimicrob. Agents Cl</i> y (September 1989)	and And	tiendot r. 33:	toxin Act: 1428-1434	ivity of , American ,	
	AT	3	Dawson, M.E., et al., "Microbes, Endotoxins and Water," <i>Pharm. Eng.</i> 8:9-12, International Society Of Pharmaceutical Engineers (March/April 1988)							
EXAMINER			•		*	DATI	E CONS	DERED		
EXAMINER: I	ı citat	ion i	f not in co	onsidered, whe	ther or not citation is not considered. Includ	in conf le copy	ormand of thi	e with MF is form wi	PEP 609. Draw	

									Page 4 of 19	
					ATTY. DOCKET NO. 1413.001000B/RWE/MTT		APPLI 09/99	REC	EIVED	
INFO			TO-1449 CLOSURE STA	TEMENT	APPLICANT Wainwright et al.		· · · · · · · · · · · · · · · · · · ·	OCT 1	L 5 2002	
<u> </u>	KINI TOL	DID			FILING DATE December 3, 2001		GROUP 1653		ER 1600/290 0	
				U.S.	PATENT DOCUMENTS					
EXAMINER INITIAL		DOCU	JMENT BER	DATE	NAME	CLA	ss	SUB- CLASS	FILING DATE	
	AA									
	AB									
	AC					ļ				
	AD	ļ			-	4				
	AE	ļ			· · · · · · · · · · · · · · · · · · ·	<u> </u>		<u> </u>	 	
 	AF	ļ				+ -		 		
	AG	 				+				
	AH	 •				+		 		
	AI	-				+				
	AJ AK	 		<u> </u>		\dagger				
	AIC	<u> </u>		FOREIG	N PATENT DOCUMENTS			1		
EXAMINER INITIAL	T.	DOCU	JMENT BER	DATE	COUNTRY	CLA	ss	SUB- CLASS	TRANSLATION	
	AL								Yes	
	АМ								Yes No	
	AN				,				Yes No	
	AO		-						Yes No	
	AP								Yes No	
			OTHER (Inc	luding Author,	Title, Date, Pertinent Pag	jes, e	etc.)			
	AR	<u>4</u>	Dayhoff, Protein S (1972)	M.O., et al., equence and St	"A Model of Evolutionary Ch tructure 5:88-99, National E	nange Biome	in P dical	roteins," Research	Atlas of Center	
	AS	4	Tachypleu Endotheli	sch, C.E., et al., "Antilipopolysaccharide Factor from Horseshoe Crab, Chypleus tridentatus, Inhibits Lipopolysaccharide Activation of Cultured Human dothelial Cells," <i>Infect. Immun.</i> 57:1612-1614, American Society for crobiology (May 1989)						
	АТ	<u>4</u>	Directed	Against Endoto	rotective Capacity of Polycoxin During Experimental Sep Association (November 1988	psis,	and " Arc	Monoclona h. Surg.	l Antibodies 123:1389-	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

DATE CONSIDERED

EXAMINER

communication to Applicant.

INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO. 1413.001000B/RWE/MTT

APPLICATION OF EIVED

APPLICANT

Wainwright et al.

OCT 1 5 2002

FILING DATE December 3, 2001 GROUP 1653 TECH CENTER 1600/2900

DOCUNUME AA AB AC AD AE AF AG AH AI AJ AK	JMENT BER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
AA AB AC AD AE AF AG AH AI		DATE	NAME	CLASS		FILING DATE
AB AC AD AE AF AG AH AI				3		
AC AD AE AF AG AH AI						
AD AE AF AG AH AI						
AE AF AG AH AI AJ						
AF AG AH AI AJ						
AG AH AI AJ						
AH AI AJ						
AI AJ						
AJ						
	-					
AK						
		FOREIG	N PATENT DOCUMENTS	•	·	<i></i>
		DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
AL			·			Ye N
AM						. Ye
AN						Ye N
AO						Ye N
AP						Ye N
-	OTHER (Incl	uding Author,	Title, Date, Pertinent P	ages, etc.)		
AR <u>5</u>	Negative S	Sepsis in a Ra	bbit Model," Ped. Res. 29	alizing Pro	tein (ENP) ract No. 1	on Gram
AS <u>5</u>	Significar	nce," in <i>In Vi</i>	n in Vertebrate Cell Cultu Etro Monograph 5, Tissue C	re: Its Mea: ulture Asso	surement a ciation, R	nd ockville,
AT <u>5</u>	American H	Horseshoe Crab	o, Limulus polyphemus," in	Internation	nal Sympos	ium on
				DATE CONS	IDERED	
AM AC AI AT	NUME A D S S S S S S S S S S S S	OTHER (Incl Garcia, C Negative S Lippincots Gould, M. Significan MD, pp. 12 Iwanaga, S American P Pyrogen, I	OTHER (Including Author, Garcia, C.T., et al., " Negative Sepsis in a Ra Lippincott Williams & W Gould, M.C., "Endotoxir Significance," in In Vi MD, pp. 125-136 (1984) Iwanaga, S., et al., "Endotoxir American Horseshoe Crab Pyrogen, Haijun, Z., ed	OTHER (Including Author, Title, Date, Pertinent P Garcia, C.T., et al., "Effect of Endotoxin Neutr Negative Sepsis in a Rabbit Model," Ped. Res. 25 Lippincott Williams & Wilkins (April 1991) Gould, M.C., "Endotoxin in Vertebrate Cell Cultus Significance," in In Vitro Monograph 5, Tissue Cell MD, pp. 125-136 (1984) Iwanaga, S., et al., "Primary Structure of Anti-American Horseshoe Crab, Limulus polyphemus," in Pyrogen, Haijun, Z., ed., Xiamen (Amoy), China,	OTHER (Including Author, Title, Date, Pertinent Pages, etc.) Garcia, C.T., et al., "Effect of Endotoxin Neutralizing Pro Negative Sepsis in a Rabbit Model," Ped. Res. 29:117A, Abst. Lippincott Williams & Wilkins (April 1991) Gould, M.C., "Endotoxin in Vertebrate Cell Culture: Its Mea: Significance," in In Vitro Monograph 5, Tissue Culture Asso. MD, pp. 125-136 (1984) Iwanaga, S., et al., "Primary Structure of Anti-Lipopolysac: American Horseshoe Crab, Limulus polyphemus," in Internation Pyrogen, Haijun, Z., ed., Xiamen (Amoy), China, pp. 84-87 (constitution). DATE CONS.	OTHER (Including Author, Title, Date, Pertinent Pages, etc.) Garcia, C.T., et al., "Effect of Endotoxin Neutralizing Protein (ENP) Negative Sepsis in a Rabbit Model," Ped. Res. 29:117A, Abstract No. 1 Lippincott Williams & Wilkins (April 1991) Gould, M.C., "Endotoxin in Vertebrate Cell Culture: Its Measurement a Significance," in In Vitro Monograph 5, Tissue Culture Association, RMD, pp. 125-136 (1984) Iwanaga, S., et al., "Primary Structure of Anti-Lipopolysaccharide Fa

· FORM PTO-1449

communication to Applicant.

INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO. 1413.001000B/RWE/MTT	09/998, 781 EUCEIV
APPLICANT	

Wainwright et al.

OCT 1 5 2002 GROUPTE/ U PENTED 40 FILING DATE

					FILING DATE December 3, 2001		1653	TECH CE	NTER 1600/290
				U.S.	PATENT DOCUMENTS				
EXAMINER INITIAL		DOCU	UMENT BER	DATE	NAME		CLASS	SUB- CLASS	FILING DATE
	, AA								
	AB	$T_{\underline{}}$,		
	AC	Τ							
	AD								
•	AE							<u> </u>	
	AF								
	AG								
	АН								
	AI								·
	AJ								
	AK	1							
			<u></u>	FOREI(GN PATENT DOCUMENTS				•
EXAMINER INITIAL	DOCUMENT NUMBER		DATE	COUNTRY		CLASS	SUB- CLASS	TRANSLATION	
	AL							 	Yes No
·	AM			<u> </u>		1			Yes No
	AN		<u> </u>			· .			Yes No
	OA	T							Yes No
	AP							T .	Yes
			OTHER (Incl	luding Author	, Title, Date, Pertin	nent Page	es, etc.)		
	AR	<u>6</u>	Biodegrada	ation," in Ad	chter, A., "Lignin: lander of the lander of	l Engine	ering/ Bi	iotechnolo	ogy: Pentoses
	AS	- <u>6</u>	Monoclona!	l Antibody to	"Analysis of Endotox Tumor Necrosis Factor Society for Microbio	or (Cach	ectin),"	Infect. I	.ng a [mmun.
	AT	<u>6</u>	polyphemus	s Reduces Mor	"Recombinant Endoto tality from E. coli incott Williams & Wi	Sepsis i	n Rat Mod	Protein f lel," <i>Ped</i> .	rom L. . Res. 31:32A
EXAMINER		J	<u> </u>		~	1	DATE CONS	IDERED	

Page 7 of 19

FORM PTO-1449

communication to Applicant.

INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO. 1413.001000B/RWE/MTT

APPLICANT Wainwright et al. OCT 1 5 2002

FILING DATE

December 3, 2001

TECH CENTER 1600/2900

					December 3, 2001	16939	(OBITI DI	1000/2009
				v.s.	PATENT DOCUMENTS			
EXAMINER INITIAL			UMENT IBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA							
	AB							
	AC							
	AD						ļ	
	AE			<u></u>				-
	AF							
	AG		<u> </u>					
	AH							
	AI							
	AJ							
	AK							
				FOREIG	N PATENT DOCUMENTS			
EXAMINER INITIAL			CUMENT IBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
	AL							Ye N
	АМ							Ye N
	AN		<u> </u>					Ye N
	AO							Ye: N
	AP							Ye N
	•		OTHER (Inc.	uding Author,	Title, Date, Pertinent Page	ges, etc.)	,	
	AR	7	an Endoto	kin-Binding Pr	'Studies on <i>Limulus</i> Amoeboc rotein from <i>Limulus</i> Amoeboc n Society of Biological Che	yte Membra	nes," <i>J. 1</i>	rification of Biol. Chem.
	AS	7	Identifica Phosphodia	ation of a Mem esterase from	"Studies on <i>Limulus</i> Amoeboc mbrane-Bound Protein Activa <i>Limulus</i> Amoebocyte," <i>J. Bi</i> plogical Chemists, Inc. (19	tor of Cyc ol. Chem.	lic Nucleo	
	АТ	7			Limulus amebocyte lysate as Abstract No. 73795j, Americ			
EXAMINER	<u> </u>	1	1		· · · · · · · · · · · · · · · · · · ·	DATE CONS	IDERED	<u>_</u>
EXAMINER: line throug	gh citat	ion i	if not in co	onsidered, whe	ther or not citation is in not considered. Include	conformanc	ce with MF is form wi	EP 609. Dra th next

INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO. 1413.001000B/RWE/MTT

APPLICATION OF 1998, 780

APPLICANT

Wainwright et al.

OCT 1 5 2002

FILING DATE

December 3, 2001

GROUTECH CENTER 1600/2900

	·		===		December 3, 2001	11653		
<u> </u>			i -	v.s.	PATENT DOCUMENTS		<u> </u>	
EXAMINER INITIAL		1	UMENT BER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA							
	AB							ļ
	AC							
	AD							
	AE				<u> </u>			
	AF				,		<u> </u>	<u> </u>
·	AG							ļ <u></u>
	AH						+	_
·	AI	<u>. </u>					 	
·	AJ	4						
	AK			<u> </u>			<u> </u>	<u>. </u>
	· · · · · · · · · · · · · · · · · · ·			FOREIG	ON PATENT DOCUMENTS		1	т
EXAMINER INITIAL			CUMENT IBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
	AL		<u> </u>					Yes
	AM							Yes No
	AN							Yes No
	AO .							Yes No
	AP				,		_	Yes No
		-	OTHER (Inc.	luding Author	, Title, Date, Pertinen	t Pages, etc.)		
	AR	8	endotoxin	T.M., "Recove exposure," A November 1992	ry of vascular tissue o m. <i>J. Physiol. 263</i> :H162)	contractile fun 28-H1631, Ameri	ction dur can Physi	ing sustained
	AS	Minetti, C.A.S.A., et al., "Purification and Characterization of an Endotoxin-Binding Protein with Protease Inhibitory Activity from Limulus Amebocytes," J. Biol. Chem. 266:20773-20780, The American Society for Biochemistry and Molecula Biology, Inc. (November 1991)						
	АТ	8	(Anti-LPS	Factor) whic	solation and Biological h Interacts with Lipopo Biochemical Society (1	olysaccharide (Limulus . LPS)," J.	Anticoagulant Biochem.
EXAMINER	l <u>-</u> _			<u> </u>		DATE CONS	IDERED	
EXAMINER: line throu communicat	gh citat	ion i	if not in c	onsidered, whe	ether or not citation i d not considered. Incl	s in conformanude copy of th	ce with MI is form w	PEP 609. Drawith next

INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO. 1413.001000B/RWE/MTT

APPLICATION NO. 09/998,780

APPLICANT

Wainwright et al.

FILING DATE

GROUP

					December 3, 2001	11653	<u> </u>	<u> </u>	
				v.s.	PATENT DOCUMENTS		7 31.00	Name - Control	
EXAMINER INITIAL		DOCU	IMENT BER	DATE	NAME	CLASS	SUB- CLASS	WIER 1600/290 FILING DATE	
	AA								
-	AB								
	AC								
	AD					·			
	AE		•						
	AF								
,	AG .				6				
	AH								
	AI								
	AJ	,							
	AK								
			= •	FOREIG	N PATENT DOCUMENTS				
EXAMINER INITIAL		DOCU	JMENT BER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
	AL							Yes No	
	AM							Yes No	
	AN							Yes No	
	ÃO						:	Yes No	
· · · · · · · · · · · · · · · · · · ·			· · · · ·					Yes	
	AP					<u> </u>	<u> L</u>	No	
			THER (Incl	uding Author,	Title, Date, Pertinent Pag	es, etc.)			
	AR	<u>9</u>	Muta, T., et al., "Primary Structure of Anti-Lipopolysaccharide Factor from American Horseshoe Crab, Limulus polyphemus," J. Biochem. 101:1321-1330, Japanese Biochemical Society (June 1987)						
	AS	<u>9</u>	Nachum, R.	achum, R., et al., "Inactivation of Endotoxin by <i>Limulus</i> Amoebocyte Lysate," <i>J.</i> nvert. Pathol. 32:51-58, Academic Press, Inc. (1978)					
	AT	9	Activator	and Detection	, J., "Endotoxin-Mediated <u>I</u> of a Previously Undescribe Comm. 108:1619-1623, Academ	d Protease	(Proteas	e N),"	
EXAMINER						DATE CONSI	DERED		

Draw

FORM PTO-1449

communication to Applicant.

INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO. 1413.001000B/RWE/MTT	APPLICATION NO FIVED
APPLICANT Wainwright et al.	OCT 1.5 2002
FILING DATE	GROUP

December 3, 2001 U.S. PATENT DOCUMENTS EXAMINER DATE NAME CLASS SUB-FILING DATE INITIAL DOCUMENT NUMBER CLASS AA AB AC AD ΑE ΑF AG AΗ ΑI ΑJ ΑK FOREIGN PATENT DOCUMENTS EXAMINER DATE CLASS SUB-TRANSLATION COUNTRY INITIAL DOCUMENT NUMBER CLASS Yes No ALYes No AM Yes No AN Yes No ΑO Yes No ΑP OTHER (Including Author, Title, Date, Pertinent Pages, etc.) Novitsky, T.J., et al., "Instrumentation - A New Approach For Monitoring Bacteria: The Kinetic LAL Assay," in Ultrapure Water, (reprinted) Associates of AR 10 Cape Cod, Inc. (November/December 1985) Novitsky, T.J., "Monitoring and Validation of High Purity Water Systems with the Limulus Amoebocyte Lysate Test for Pyrogens, " Pharm. Eng. (reprinted) AS 10 International Society of Pharmaceutical Engineers (March/April 1984) Novitsky, T.J., and Roslansky, P.F., "Quantification of Endotoxin Inhibition in Serum and Plasma Using a Turbidimetric LAL Assay," in Bacterial Endotoxins: Structure, Biomedical Significance, and Detection with the Limulus Amebocyte 10 AT Lysate Test, Cate, J.T., et al., eds., Alan R. Liss, Inc., New York, NY, pp. 181-193 (1985) DATE CONSIDERED EXAMINER

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

line through citation if not in conformance and not considered. Include copy of this form with next

INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.	APPLICATION NO.
1413.001000B/RWE/MTT	09/998,780BECEIVE
ADDI TOANT	

APPLICANT

Wainwright et al.

6CT 1 5 2002

FILING DATE December 3, 2001 GROUP 1653

					FILING DATE December 3, 20	01 GRO	3 THCHO	SENTER 1600/2
				σ.	S. PATENT DOCUMENTS			
EXAMINER INITIAL			UMENT BER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA							
	AB							
	AC						<u> </u>	<u> </u>
	AD							ļ ·
	AE							
·	AF							
	AG							
	AH							
	AI							
<u> </u>	AJ	4						<u> </u>
	AK							·
	<u> </u>			FOR	EIGN PATENT DOCUMENT	rs .		т .
EXAMINER NITIAL		DÓC NUM	UMENT BER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
	AL							Ye
· ·	АМ							Ye N
	AN							Ye N
	AO							Ye N
· · · · · · · · · · · · · · · · · · ·	AP							Ye N
			OTHER (In	cluding Auth	or, Title, Date, Per	tinent Pages, etc.)	• .
	AR	11	Limulus	r, T.J., et a Amoebocyte I blogy (1985)	al., "Turbidimetric N Lysate," J. Clin. Mic	Method for Quantify crobiol. 20:211-216	ving Serum 5, American	Inhibition of Society for
	AS	11	human bl	, T., et al. ood samples, Society (19	, "Endotoxin-inactiv " Chem. Abstr. 105:4 986)	vating activity in 458, Abstract No. 9	normal and 95431q, Ame	pathological rican
	АТ	11	Human Bl		, "Endotoxin-Inactiv" " Infect. Immun. 53			

INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO. 1413.001000B/RWE/MTT APPLICATION NO. 09/998,780

APPLICANT

Wainwright et al.

FILING DATE

GROUP

					December 3, 2001	16	53 00	T 1 5 2003
				v.s.	PATENT DOCUMENTS			
EXAMINER INITIAL		DOCU	JMENT BER	DATE	NAME	CLASS		NTER 1600/290 FILING DATE
<u> </u>	AA							
	AB							
	AC				·		<u>'</u>	-
	AD	ļ			<u> </u>			
	AE			·				
	AF		·					
	AG	<u>.</u>					· ·	
	AH .	 						
	AI	 						
<u> </u>	AJ	1						
	AK	<u> </u>			<u> </u>			I
	Τ	1		FOREIG	N PATENT DOCUMENTS			
EXAMINER INITIAL		DOCU	JMENT BER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
	AL							Yes No
	АМ		[*] +- *					Yes No
·	AN							Yes No
	AO							Yes No
	AP							Yes No
		(OTHER (Incl	uding Author,	Title, Date, Pertinent I	ages, etc	2.)	
	AR	12	Fragment a	ind a Newly Is Permeability-	otoxin-neutralizing Proper olated 30 kD C-Terminal l increasing Protein of Hur eller University Press (S	Fragment o man Neutro	of the 55-60 ophils," <i>J. I</i>	kD
	AS	12	Ramadori, Rabbit Her	Ramadori, G., et al., "Biosynthesis of Lipopolysaccharide-Binding Protein in Rabbit Hepatocytes," <i>Pathobiol</i> . 58:89-94, S. Karger AG (March-April 1990)				
	AT	12	Saladino, R., et al., "Effect of an Endotoxin Neutralizing Protein in an E. coli Sepsis Model in the Rabbit," Pediatric Res. 31:35A, Abstract No. 195, Lippincott Williams & Wilkins (April 1992)					n an <i>E. coli</i> Lippincott
EXAMINER						DATE CO	ONSIDERED	

INFORMATION DISCLOSURE STATEMENT

APPLICATION 09/998, ATTY. DOCKET NO. 1413.001000B/RWE/MTT

APPLICANT

Wainwright et al.

FILING DATE

GROUP

		·			FILING DATE December 3, 200	01	1653	P 1 66406	NTER 1600/290
<u>=</u>			=	υ.s	S. PATENT DOCUMENTS			TEVITUE	1915U 1000/58
EXAMINER INITIAL			UMENT BER	DATE	NAME		CLASS	SUB- CLASS	FILING DATE
	AA						_ :		
	AB								
	AC								
	AD								
· · · · · · · · · · · · · · · · · · ·	AE								
	AF								
·	AG								
	AH								
	AI								
	AJ							'	
	AK								
				FORE	IGN PATENT DOCUMENT	S			•
EXAMINER INITIAL			UMENT BER	DATE	COUNTRY		CLASS	SUB- CLASS	TRANSLATION
· · ·	AL								Yes
	AM	<u> </u>					- 		Yes No
·	AN		·					·	Yes
	AO						_	<u>'</u>	Yes No
	AP						<u>, l</u>		Yes
		,	OTHER (Inc	luding Autho	r, Title, Date, Per	tinent Pag	ges, etc.)		
	AR	13	Experimen	ital Septicem	, "Protective Effectia: E. coli Antibod et. Dis 159:641-647,	lies Prote	ct Against	: Differen	t Serotypes
	AS	13			el., "Evaluation of ed Sepsis," J. Pedi				
	AT	13	Limulus p	oolyphemus on ctor Mechanis	"Effect of a recomb Gram-negative seps sms, Levin, J., et a Netherlands, pp. 37	is," in <i>B</i> . 1., eds.,	acterial A Elsevier	Endotoxin:	Recognition
EXAMINER		.1					DATE CONS	IDERED	

INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.	APPLICATION NO
1413.001000B/RWE/MTT	09/998,780

APPLICANT

Wainwright et al.

FILING DATE

GROUP TENT OF MED 4

					December 3, 200	169	53 FCHC	ENTER 1600/296
		•		ਹ	.S. PATENT DOCUMENTS			
EXAMINER INITIAL			UMENT BER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA							
	AB							
	AC							
	AD	<u> </u>						
	AE				<u>.</u>			<u> </u>
	AF							
	AG	4		<u> </u>	- w			•
	AH							
	AI			-				_
	AJ	-						
	AK							
	· · · · ·	т		FOR	EIGN PATENT DOCUMENTS	<u> </u>	r	1
EXAMINER INITIAL			UMENT BER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
	AL							Yes No
	AM			ļ				Yes No
	AN							Yes No
<u> </u>	AO			<u> </u>				Yes No
	AP							Yes No
			OTHER (In	cluding Auth	or, Title, Date, Per	tinent Pages, etc	.)	
	AR	14	Sigma® C Diagnost	hemical Comp ic Reagents	pany, Catalogue entit for Research, St. Lo	led <i>Biochemicals,</i> uis, MO, p. 1539	Organic Co	ompounds and
	AS	14	Sofer, G	. and Britto or Proteins	on, V.J., "Designing " <i>BioTechniques 1</i> :19	an Optimal Chroma 8-203, Eaton Publ	tographic I	Purification (1983)
	AT	14	Plate Co	unts and Che	al., "Comparison of temical Analyses for A l. 45:720-722, Americ	ssessment of the	Quality of	Lean Fish,"
EXAMINER		1	<u> </u>			DATE CO	NSIDERED	

INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO. 1413.001000B/RWE/MTT APPLIES CEIVED

APPLICANT

Wainwright et al.

OCT 1 5 2002

FILING DATE December 3, 2001 GROUP 169ECH CENTER 1600/2900

				Ţ.	S. PATENT DOCUMENTS	<u> </u>	,	1	,
EXAMINER INITIAL		DOC	UMENT BER	DATE	NAME	_	CLASS	SUB- CLASS	FILING DATE
	AA_			J					
	AB							<u> </u>	
<u> </u>	AC			<u> </u>					ļ <u>-</u> -
	AD							<u> </u>	
	AE	<u> </u>			<u>:</u> :			ļ	
	AF	<u> </u>		ļ					
	AG	_		 		•		 	1
<u> </u>	AH	-├					 	<u> </u>	
<u> </u>	AI	_				-	ļ	<u> </u>	·
<u> </u>	AJ .	+		 			7		
	AK	<u> </u>		<u>.</u>		:	<u> </u>		
	1			FORE	IGN PATENT DOCUMENT	rs	1	1	· · · · · · · · · · · · · · · · · · ·
EXAMINER INITIAL		DOC!	UMENT BER	DATE	COUNTRY		CLASS	SUB- CLASS	TRANSLATION
	AL								Ye N
	AM .								Ye N
	AN								Ye N
	AO								Ye N
	AP								Ye
		-	OTHER (Inc	luding Autho	or, Title, Date, Per	rtinent Page	s, etc.)		
	AR	15	Extended 26:7767-7 Tanaka. S Endotoxin	Analogues of 774, America ., et al., '-Mediated Ad	, "Synthesis and Bi f the α Mating Fact an Chemical Society " <u>Limulus</u> Anti-LPS For ctivation of <u>Limuluar</u> 23, Academic Press,	or of Sacch (December) actor: An An	aromyces (1987) nticoagula	cerevisia	e," Biochem. Inhibits the
	AT	<u>15</u>	Lipopolys		'Effects of Anti-Li nduced Toxicity to June 1989)				
							DATE CONSI		

ATTY. DOCKET NO. 1413.001000B/RWE/MTT APPLICATION N

APPLICANT

ĪŃ	FORMATIO	N DIS	CLOSURE ST	ATEMENT	Wainwright et al.	<u>. </u>		OCT	1 5 2002
					FILING DATE December 3, 2001		GROUP 1653		
			=	υ.s	S. PATENT DOCUMENTS			CUM UEN	TER 1600/2900
EXAMINER INITIAL		DOC NUM	UMENT BER	DATE	NAME	(CLASS	SUB- CLASS	FILING DATE
	AA .						<u> </u>		
	AB	<u> </u>							
<u> </u>	AC	ļ							
	AD .								
	AE						_		ļ
	AF							· ·	ļ
	AG	<u> </u>							
	AH								
	AI	<u> </u>			<u> </u>				
	АĴ								
	AK								
				FORE	IGN PATENT DOCUMENTS				· •
EXAMINER INITIAL		DOC NUM	UMENT BER	DATE	COUNTRY		CLASS	SUB- CLASS	TRANSLATION
MA.	AL								Yes
	AM								Yes No
	AN								Yes No
	AO							,	Yes No
	AP								Yes No
	•		OTHER (Inc	luding Autho	r, Title, Date, Perti	nent Pages	, etc.)		
	AR	<u>16</u>	protein f	rom <i>Limulus</i> , Nowotny, A	al., "Endotoxin bindi polyphemus," in Cellu ., et al., eds., Else lands, pp.315-325 (Ja	lar and Mo	o <i>lecular</i> nce Publ	Aspects (of Endotoxin
·	AS	<u>16</u>	Wainwright, N.R., et al., "Endotoxin Binding and Neutralizing Activity by a Protein from Limulus polyphemus," Int. Congr. Ser. Excerpta Med. 923:315-325, Elsevier Science B.V. (January 1990)						
	AT	16	Rep. Anno	ounc. Index 9	dotoxin Binding Prote 21:172, Abstract No. 1 Aformation Service (Au	.43,719, U.	.S. Depa	of Septic rtment of	Shock," Gov. Commerce
EXAMINER	. 1	<u></u>	<u> </u>	arver		DA	ATE CONS	IDERED	

Page 17 of 19

Draw

FORM PTO-1449

communication to Applicant.

INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO. 1413.001000B/RWE/MTT	APPLICATION TO E	VEC
APPLICANT Wainwright et al.	OCT 1 5	2002

GROUPECH CENTER 1600/2900 December 3, 2001 U.S. PATENT DOCUMENTS EXAMINER DATE NAME CLASS SUB-FILING DATE INITIAL DOCUMENT NUMBER CLASS AA AB AC ΑD ΑE AF AG AΗ ΑI ΑJ ΑK FOREIGN PATENT DOCUMENTS EXAMINER DATE COUNTRY CLASS SUB-TRANSLATION INITIAL DOCUMENT NUMBER CLASS Yes ALNo Yes AΜ No Yes AN No Yes ΑO No Yes ΑP No OTHER (Including Author, Title, Date, Pertinent Pages, etc.) Warren, H.S., et al., "Endotoxin Neutralizing Capacity of Sera from Different Patient Populations Assessed by the Limulus Lysate Test," in Detection of AR 17 Bacterial Endotoxins with the Limulus Amebocyte Lysate Test, Watson, S.W., et al., eds., Alan R. Liss, Inc., New York, NY, pp. 341-348 (September 1987) Warren, H.S., et al., "Binding and Neutralizing of Endotoxin by Limulus Antilipopolysaccharide Factor," Infect. Immun. 60:2506-2513, American Society for AS <u>17</u> Microbiology (June 1992) Weary, M. and Pearson III, F. "A Manufacturer's Guide to Depyrogenation," AT <u>17</u> BioPharm, pp. 22-29, Aster Pub. (April 1988) EXAMINER DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

line through citation if not in conformance and not considered. Include copy of this form with next

FILING DATE

INFORMATION DISCLOSURE STATEMENT

ATTY.	DOCKET	NO.
1413.	001000B	/RWE/MTT

APPLICA RECEIVED

APPLICANT

Wainwright et al.

OCT 1 5 2002

FILING DATE

December 3, 2001

GROUP 1653 ECH CENTER 1600/2900

					December 3, 2001	1653	ECH CEN	IER 1600/2900	
				U.S.	PATENT DOCUMENTS				
EXAMINER INITIAL		DOC	UMENT BER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE	
	AA							<u> </u>	
<u></u>	AB						<u> </u>		
	AC								
<u> </u>	AD'	<u> </u>							
<u> </u>	AE					↓	 	<u> </u>	
	AF	Д	N-,						
	AG	Д					<u> </u>	ļ	
	AH	↓							
	AI								
	AJ						<u> </u>		
<u> </u>	AK_								
				FOREIG	N PATENT DOCUMENTS				
EXAMINER INITIAL		DOC!	UMENT BER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
	AL			\				Yes No	
	АМ							Yes No	
	AN	$oldsymbol{oldsymbol{oldsymbol{oldsymbol{eta}}}$						Yes No	
	AO							Yes No	
	AP							Yes No	
			OTHER (Inc.	luding Author,	Title, Date, Pertinent Pag	jes, etc.)			
	AR	<u>18</u>	in vivo an	nd Blocks Effe : Congress of t	, " <u>Limulus</u> Anti-LPS Factor I ects on Vascular Contractil: the International Endotoxin New York, NY, p. 82, Abstra	ity <u>in vit</u> Society,	<u>ro</u> ," in Al Nowotny, A	bstracts of A., et al.,	
	AS	AS 18 International Search Report for the corresponding PCT Application, No. PCT/US92/03983, mailed July 28, 1992							
	AT	18	European S July 2, 19		for corresponding European	Appl. No.	EP 952015	582.4, dated	
EXAMINER	<u> </u>		<u> </u>			DATE CONS	IDERED		

INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO. 1413.001000B/RWE/MTT

APPLICATION NO.

09/998, 60

APPLICANT

Wainwright et al.
FILING DATE

GROUP

OCT 1 5 2002

·					December 3, 2001	1653	Tall Care	F= 8
				U.S.	PATENT DOCUMENTS	1	EUH UEN	ER 1600/2900
EXAMINER INITIAL		DOCU NUME	MENT BER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA					<u> </u>		
	AB	<u> </u>						
	AC	<u> </u>						
	AD	ļ						
	AE	<u> </u>	· · ·					
	AF					ļ		
	AG	ļ		··································		ļ		
	AH	<u> </u>						
· · · · · · · · · · · · · · · · · · ·	AI					<u> </u>		
	AJ					 	ļ	
	AK	<u>.</u>			<u> </u>			
	T	<u> </u>		FOREIG	N PATENT DOCUMENTS	1	1	
EXAMINER INITIAL		DOCU NUME	MENT BER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
	AL			· 				Yes No
,	AM	ļ <u>.</u>						Yes No
	AN							Yes No
	AO							Yes No
	AP							Yes No
		(THER (Incl	uding Author,	Title, Date, Pertinent Pag	es, etc.)		
	AR	<u>19</u>	English Ab Derwent Ac	estract for Ja cession Nos.	panese Patent Document No. 84-072449/12 and 84-05155	J59027828	(Doc Ref.	AO1),
	AS							
	AT							
EXAMINER	•					DATE CONSI	DERED	

IN THE UNITED STATES PATENT AND TRADEMARK OF CEIVED

In re application of:

Wainwright et al.

Appl. No. 09/998,780

Filed: December 3, 2001

Endotoxin Binding and For:

Neutralizing Protein and Uses

Thereof

Confirmation No. 7532

OCT 1 5 2002

Art Unit:

TECH CENTER 1600/2900

Examiner:

To Be Assigned

Atty. Docket: 1413.001000B/RWE/MTT

First Supplemental Information Disclosure Statement

Commissioner for Patents Washington, D.C. 20231

Sir:

Listed on accompanying Form PTO-1449 is a document that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98. The numbering on this First Supplemental Information Disclosure Statement is a continuation of the numbering in Applicants' Information Disclosure Statement filed herewith in connection with the abovecaptioned application. A copy of the document is also provided.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Wainwright *et al*. Appl. No. 09/998,780

- 2 -

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered. This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist.

This First Supplemental Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. No statement or fee is required.

Consideration of the cited document and making the same of record in the prosecution of the above-identified application is respectfully requested. The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Eg. No. 42,473

Robert W. Esmond

Attorney for Applicants Registration No. 32,893

Date:

1100 New York Avenue N.W.

1100 New York Avenue, N.W. Suite 600

Washington, D.C. 20005-3934

(202) 371-2600

::ODMA\MHODMA\SKGF_DC1;60138;1 SKGF Rev 1/24/02 mac

						·				Page 1 of 1
	1	FORM I	PTO-1449			ATTY. DOCKET NO. 1413.001000B/RWE/MTT		APPL:	CATION NO	
			PPLEMENTAL			APPLICANT Wainwright et al.				T 1 5 2002
INFO	RMATIO	N DIS	CLOSURE STA	ATEMENT		FILING DATE December 3, 2001	<i>11</i>	GROUI 1653	2	NTER 1600/290
				υ.:	S. P	ATENT DOCUMENTS				
EXAMINER INITIAL		DOC	UMENT BER	DATE	ı	NAME	CI	ASS	SUB- CLASS	FILING DATE
	AA								<u> </u>	<u> </u>
	AB	-		ļ	_				1	
	AC			, , , ,			_		-	
	AD AE	+			+	·				
	AF	1.			\neg				<u> </u>	
	AG									
	АН								<u> </u>	
	AI	-		ļ					- 	
	AJ	+			-		-		 	
	AK	1	v *	POPP	TGN	PATENT DOCUMENTS				
EXAMINER	Ī	T		FURE	IGN	PRIEMI DOCUMENTS			1	<u> </u>
INITIAL		DOC!	UMENT BER	DATE		COUNTRY	CL	ASS	SUB- CLASS	TRANSLATION
	AL]						Yes No
	AM									Yes No
·	AN									Yes No
	AO				ŀ					Yes No
	1	 				·				Yes
	AP									Мо
	AR		OTHER (Inc.	luding Autho	or, T	itle, Date, Pertinent	Pages,	etc.)		
	AS	<u>19</u>	Brake, A., proteins National	J., et al., in Saccharom Academy of S	"α-I myces Scier	Factor-directed synthes s cerevisiae," Proc. Nance of the USA (1984)	is and tl. Aca	secre	tion of m	ature foreign :4642-4646,
	АТ									

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

DATE CONSIDERED

EXAMINER

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE RECEIVED

In re application of:

Wainwright et al.

Appl. No. 09/998,780

Filed: December 3, 2001

For:

Endotoxin Binding and

Neutralizing Protein and Uses

Thereof

OCT 1 5 2002 Confirmation No. 7532

Art Unit:

TECH CENTER 1600/2900

Examiner:

To Be Assigned

Atty. Docket: 1413.001000B/RWE/MTT

Second Supplemental Information Disclosure Statement

Commissioner for Patents Washington, D.C. 20231

Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98. The numbering on this Second Supplemental Information Disclosure Statement is a continuation of the numbering in Applicants' First Supplemental Information Disclosure Statement filed herewith in connection with the above-captioned application. A copy of each document is also provided.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered. This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist.

This Second Supplemental Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. No statement or fee is required.

Consideration of the cited documents and making the same of record in the prosecution of the above-identified application is respectfully requested. The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GQLDSTEIN & FOX P.L.L.C.

Robert W. Esmo

Attorney for Applicants Registration No. 32,893

Date: October 11, 2002

1100 New York Avenue, N.W. Suite 600 Washington, D.C. 20005-3934 (202) 371-2600

::ODMA\MHODMA\SKGF_DC1;60141;1 SKGF Rev 1/24/02 mac

FORM PTO-1449
SECOND SUPPLEMENTAL

INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO. 1413.001000B/RWE/MTT

APPLICATION 09/998,780

DATE CONSIDERED

OCT 1 5 2002

APPLICANT

FILING DATE

Wainwright et al.

GROUP TECH CENTER 1600/29

December 3, 2001 U.S. PATENT DOCUMENTS EXAMINER DATE NAME CLASS SUB-FILING DATE INITIAL DOCUMENT NUMBER CLASS AA AΒ AC ÀD ΑĒ ΑF AG AH AI2 5,594,113 01/14/1997 Wainwright et al. 06/22/1994 5,614,369 AJ2 03/25/1997 Wainwright et al. 06/07/1995 AK2 5,627,266 05/06/1997 06/07/1995 Wainwright et al. FOREIGN PATENT DOCUMENTS EXAMINER COUNTRY INITIAL DOCUMENT NUMBER DATE CLASS SUB-TRANSLATION CLASS Yes ALNo Yes AM No Yes AN No. Yes ΑO No Yes AP2 WO 92/03535 03/05/1992 WIPO No OTHER (Including Author, Title, Date, Pertinent Pages, etc.) AR AS AΤ

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

EXAMINER

ATTY. DOCKET NO. 1413.001000B/RWE/MTT

09/998,780

APPLICATE C OCT 1 5 2002

APPLICANT

Wainwright et al.

SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

GROUP TECH CENTER 1600/2900 FILING DATE December 3, 2001

							·	=======================================
		1		U.S. PAT	ENT DOCUMENTS		<u> </u>	1
EXAMINER INITIAL		DOCU	MENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA3	5,74	17,455	05/05/1998	Wainwright et al.			08/30/1996
	AB3	1	30,429	07/14/1998	Wainwright			12/22/1995
	AC							
	AD .						`	
	AE							
	AF							
	AG							
	АН							
	AI							
	AJ						<u> </u>	<u></u>
	AK			•				
				FOREIGN PA	ATENT DOCUMENTS			
EXAMINER INITIAL		DOCU	MENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
	AL3	WO 9	92/20715	11/26/1992	WIPO			Yes No
								Yes
	AM	<u> </u>						No
	 					,		Yes
	AN				-			No Yes
	AO							No
								Yes
	AP				<u> </u>			No
			THER (Including	ng Author, Ti	tle, Date, Pertinent Pa	ges, etc.)		
	AR							
	AS							
PYAMINED	AT					DATE CONS	IDERED	
EXAMINER						DWIE CONS	TDEKED	_

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE CEIVED

In re application of:

Wainwright et al.

Appl. No. 09/998,780

Filed: December 3, 2001

For: **Endotoxin Binding and**

Neutralizing Protein and Uses

Thereof

OCT 1 5 2002 Confirmation No. 7532

Art Unit:

1653

TECH CENTER 1600/2900

Examiner:

To Be Assigned

Atty. Docket: 1413.001000B/RWE/MTT

Third Supplemental Information Disclosure Statement

Commissioner for Patents Washington, D.C. 20231

Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98. The numbering on this Third Supplemental Information Disclosure Statement is a continuation of the numbering in Applicants' Second Supplemental Information Disclosure Statement filed herewith in connection with the above-captioned application. A copy of each document is also provided.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered. This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist.

This Third Supplemental Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. No statement or fee is required.

Consideration of the cited documents and making the same of record in the prosecution of the above-identified application is respectfully requested. The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Robert W. Esmond

Attorney for Applicants Registration No. 32,893

Date:

1100 New York Avenue, N.W.

Suite 600

Washington, D.C. 20005-3934

(202) 371-2600

::ODMA\MHODMA\SKGF_DC1;60149;1 SKGF Rev 1/24/02 mac

THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

APPLI ATTON 09/993,780 ATTY. DOCKET NO. 1413.001000B/RWE/MTT APPLICANT OCT 1 5 2002 Wainwright et al.

GROUP 165BECH December 3, 2001 U.S. PATENT DOCUMENTS EXAMINER INITIAL DOCUMENT NUMBER DATE NAME CLASS SUB-FILING DATE CLASS AA AΒ AC3 4,546,082 10/08/1985 Kurjan et al. 06/17/1982 03/18/1986 09/09/1982 AD3 4,576,745 Monsigny AE3 6,222,021 Bl 04/24/2001 Wainwright et al. 06/09/1997 6,384,200 B1 05/07/2002 05/01/1997 AF3 Wainwright et al. AG AΗ ΑI ΑJ ΑK FOREIGN PATENT DOCUMENTS EXAMINER INITIAL DOCUMENT NUMBER DATE COUNTRY CLASS SUB-TRANSLATION CLASS Yes AT. No Yes AΜ No Yes AN3 WO 92/20715 A1 11/26/1992 WIPO No Yes AO3 WO 97/23235 A1 07/03/1997 WIPO No Yes ΑP OTHER (Including Author, Title, Date, Pertinent Pages, etc.) AR AS

FILING DATE

AΤ

<u> 19</u>

Inc. (April 1994)

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

Aoki, H., et al., "Treatment of Sepsis by Extracorporeal Elimination of Endotoxin

Using Polymyxin B-Immobilized Fiber, "Am. J. Surg. 167:412-417, Excerpta Medica,

EXAMINER

FORM PTO-1449 THIRD SUPPLEMENTAL

INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO. 1413.001000B/RWE/MTT APPLICATION TO 09/998,780

APPLICANT

FILING DATE

Wainwright et al.

GROUP TECH CENTER 1600/2900

					ecember 3, 2001	1003				
					ENT DOCUMENTS					
EXAMINER INITIAL		DOC	ÚMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE		
	AA							1		
	AB	<u> </u>	·							
	AC	_					ļ			
	AD									
	AE	<u> </u>								
	AF	₩								
· · · · · · · · · · · · · · · · · · ·	AG	+								
	AH	<u> </u>								
<u> </u>	AI	 								
·	AJ	 	· · · · · · · · · · · · · · · · · · ·		<u> </u>	•				
<u> </u>	AK	<u></u>				<u> </u>	L	l		
	1 .	i		FOREIGN PA	ATENT DOCUMENTS	T		Ī		
EXAMINER INITIAL		DOC	UMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION		
	AL				, , , ,			Yes No		
	АМ							Yes No		
	AN		·					· Yes No		
<u>,</u>	AO							Yes No		
	AP				·			Yes No		
		-	OTHER (Includir	ng Author, Tit	le, Date, Pertinent Page	es, etc.)				
	AR	20	Alpert, G., e Meningococcal Chicago Press	Endotoxin Sho	us Antilipopolysaccharid ock," <i>J. Infect. Dis.</i> 16	e Factor F 5:494-500,	Protects R , Universi	abbits from ty of		
	AS	<u>20</u>	Meningococcal	Baldwin, G., et al., "Effect of Polymyxin B on Experimental Shock from . Meningococcal and <i>Escherichia coli</i> Endotoxins," <i>J. Infect. Dis. 164</i> :542-549, University of Chicago Press (September 1991)						
	AT	20	Correct Protect Products of the	nnais, Y., et al., "Secretion of Somatostatin by Saccharomyces cerevisiae. t Proteolytic Processing of Pro- α -Factor-Somatostatin Hybrids Requires the ts of the KEX2 and STE13 Genes," J. Biol. Chem. 263:15342-15347, The an Society for Biochemistry and Molecular Biology, Inc. (1988)						
EXAMINER	1		 .		1	DATE CONSI	DERED			

THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO. 1413.001000B/RWE/MTT APPLICATION CEIVED

APPLICANT

Wainwright et al.

OCT 1 5 2002

FILING DATE

December 3, 2001

GROUP 1653 ECH CENTER 1600/2900

U.S. PATENT DOCUMENTS										
EXAMINER INITIAL		DOCU	MENT NUMBER	DATE	NAME .	CLASS	SUB- CLASS	FILING DATE		
	AA			·						
	AB									
	AC		•							
	AD									
	AE									
	AF			-						
	AG									
	AH									
	AI									
	AJ									
	AK						•			
				FOREIGN PA	TENT DOCUMENTS					
EXAMINER INITIAL		DOCU	MENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION		
	AL							Yes No		
								Yes		
	AM	ļ				 		No		
	AN	ļ			•			Yes No		
	AO					,		Yes No		
	AP							Yes No		
		(THER (Includin	g Author, Tit	le, Date, Pertinent Pag	es, etc.)				
	AR	<u>21</u>	Fail To Inhib	it LPS-Induced	opolysaccharide (LPS)-Re I Tumor Necrosis Factor is. 159:872-880, Univers	Secretion	by Mouse-	Derived		
	AS	Duff, G.W.; et al., "Removal of Endotoxin by a Polymyxin B Affinity Column," Clin. Res. 30: Abs. No. 565A, Charles B. Slack (1982)								
	AT	21	Biocatalysts,	' in Advances ocessing, Fied	ication of Ultrafiltrat: in Biochemical Enginee: chter, A., ed., Springer	ring/Bioted r-Verlag, E	chnology 2 Berlin, Ge	6,		
EXAMINER			-			DATE CONSI	DERED			

ATTY. DOCKET NO. 1413.001000B/RWE/MTT APPLICATED 09/998, 80

APPLICANT

. THIRD SUPPLEMENTAL				Wainwright et al.			OCT 1 5 2002		
INFORMATION DISCLOSURE STATEMENT					FILING DATE December 3, 2001		GROUP 1653		ITER 1600/290
				U.S. P	ATENT DOCUMENTS			PAIT AFT	LI LII IUUU/ESU
EXAMINER INITIAL	DOCUMENT NUMBER DATE				NAME	CLA	ss	SUB- CLASS	FILING DATE
	AA								
	AB								
	AC								<u></u>
	AD			<u> </u>					
	AE		•						
	AF								
	AG			ļ					
	AH								
	AI			ļ					
	, AJ	_	·						
<u> </u>	, AK				-				<u> </u>
		_		FOREIGN	PATENT DOCUMENTS	<u> </u>	· · · · ·		
EXAMINER INITIAL		DOC	CUMENT NUMBER	DATE	COUNTRY	CLA	ss	SUB- CLASS	TRANSLATION
	AL								Yes No
	АМ								Yes No
	AN		·				-		Yes No
	AO		·						Yes No
	AP								Yes No
			OTHER (Includi	ng Author, ?	Title, Date, Pertinent	t Pages,	etc.)		
	AR	22	Endotoxin wit	h High Affi fectious Di	ic Peptides Derived finity," in Vaccines 96 seases, Brown, F., et iew, NY, pp. 121-125	, Molecul al., eds	<i>ar App</i> ., Col	roaches t	to the
	AS	22			lecular Mechanism of 8:1-32, Pergamon Pres				em in
	AT	22	horseshoe Cra	b Antilipop	"Synthetic Peptides to olysaccharide Factor, ess (December 1994)				
EXAMINER		1	<u> </u>			DATE	CONSI	DERED	

THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.
1413.001000B/RWE/MTT

APPLICATION O9/998, 780 ECELV

APPLICANT

Wainwright et al.

OCT 1 5 2002

FILING DATE

GROUP TECH CENTER 1600/2007

					December 3, 2001	1653	IEUN UE	NIER 1666/296
				U.S. PAT	ENT DOCUMENTS			
EXAMINER INITIAL		DOC	UMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
·	AA				<u> </u>		<u> </u>	
	AB					_		
	AC							
	AD							
	AE	ļ		<u> </u>			<u> </u>	
	AF							
	AG				`			
	AH					ļ	<u> </u>	
	AI		,				·	
	AJ		·					
	AK							
				FOREIGN PA	ATENT DOCUMENTS			
EXAMINER INITIAL		DOC	UMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
	AL	_						Yes No
	АМ				· · · · · · · · · · · · · · · · · · ·		ļ .	Yes No
	AN		·					Yes No
·	AO						,	Yes No
	AP							Yes No
			OTHER (Includi	ng Author, Ti	tle, Date, Pertinent Pag	ges, etc.)		
	AR	23			ymyxin B Use Does Not e 07, Elsevier Science B.		toxin-Fre	e Solution,"
	AS	23	Polymyxin B C		hibition of <i>Escherichia</i> ached to Agarose Beads, (1977)			
	AT	23	onto Polyviny	lidene Difluo	from Picomole Quantitie ride Membranes," <i>J. Bio</i> ical Chemists, Inc. (Ju	1. Chem. 2		
EXAMINER	.1	<u> </u>	1			DATE CONS	IDERED	

THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO. 1413.001000B/RWE/MTT APPLICATED N 09/998, 8)

APPLICANT

Wainwright et al.

OCT 1 5 2002

FILING DATE

GROUP

IN	FORMATIO	N DIS	CLOSURE STATEM	ENT	FILING DATE December 3, 2001	GROUP 1653 TECH CENTER 1600/2006			
						1 1 6 3 3 1 E CH CENTER 1600/290(
•				U.S. PA	ATENT DOCUMENTS			Τ	T
EXAMINER INITIAL		DOC	UMENT NUMBER	DATE	NAME	CLA	ss	SUB- CLASS	FILING DATE
	AA			-					
	AB								
	AC							·	
<u> </u>	AD -		<u> </u>		-				_
	AE								
	AF			_ :					<u> </u>
·	AG .								<u> </u>
4.	AH				·				
	AI							<u> </u>	
	AJ								
	AK								<u> </u>
				FOREIGN	PATENT DOCUMENTS	<u>.</u>			
EXAMINER INITIAL		DOC	UMENT NUMBER	DATE	COUNTRY	CLA	.ss	SUB- CLASS	TRANSLATION
	AL								Ye N
	AM								Ye N
	AN								Ye N
	AO		1				· · · · · · · · · · · · · · · · · · ·		Ye N
	AP							,	Ye N
			OTHER (Includi	ng Author, T	itle, Date, Pertinent	Pages, e	etc.)		
	AR	24	Depyrogenatio	n, Chapter 1	, M.J., "Inactivation 0, PDA Technical Repor p. 93-97 (1986)				
	AS	24	Pang, SZ., peptide in ye (July 1992)	et al., "Exp ast, bacteri	ression of a gene enco a and plants," <i>Gene</i> 13	oding a s	scorpi 72, El	ion insec Isevier S	totoxin cience B.V.
	AT	24	Siber, G.R., Chicago Press	et al., "Rep (September	ly," <i>J. Infect. Dis.</i> 1	166:682-6	583, 1	The Unive	rsity of
EXAMINER		1	1			DATE	CONSI	DERED	

THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT ATTY. DOCKET NO. 1413.001000B/RWE/MTT APPLICATION 09/998,780

APPLICANT

Wainwright et al.

FILING DATE December 3, 2001 GROUP TECH CENTER 1600/2900

				U.S. PAT	ENT DOCUMENTS			
EXAMINER INITIAL		DOC	UMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	ĀĀ			1	·		<u> </u>	-
	АВ							
· .	AC	İ						
	AD	.						
	AE							•
	AF							
	AG							
	АН							
	AI			·	,			
	AJ				•	,		
	AK							
				FOREIGN PA	ATENT DOCUMENTS			•
EXAMINER INITIAL		DOC	UMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
	AL							Yes No
								Yes
	AM				· · · · · · · · · · · · · · · · · · ·			No
	AN					,		Yes No
								Yes
	AO	_					_	No
	AP							Yes No
	. 1	ı	OTHER (Includi	ng Author, Ti	tle, Date, Pertinent Pa	ges. etc.)	<u>-</u>	
	AR	<u>25</u>			romyces cerevisiae cont ," Nucl. Acids Res. 11:			
	AS	<u>25</u>	Southgate, V.J., et al., "Expression and Secretion of Bacillus amyloliquefacien α -Amylase by Using the Yeast Pheromone α -Factor Promoter and Leadr Sequence in Saccharomyces cerevisiae," Appl. Env. Microbiol. 59:1253-1258, American Society for Microbiology (April 1993)					
	AT	25		ffinity Colum	t, C.J., "Efficient End n: Affi-Prep Polymyxin, ust 1989)			
EXAMINER			1			DATE CONS	IDERED	

THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO. 1413.001000B/RWE/MTT	APPLICATION NO FIVE

APPLICANT

Wainwright et al.

OCT 1 5 2002

FILING DATE

3, 2001

GROUP 1653 TECH CENTER 1600/06

					December 3, 2001	1653	TECH CE	NTER 1600/290
				U.S. PAT	ENT DOCUMENTS			
EXAMINER INITIAL		DOC	UMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA							
	AB			<u> </u>			<u> </u>	
	AC							
	AD						<u> </u>	<u> </u>
	AE							
	AF	<u> </u>			7-			
	AG	_	· · · · · · · · · · · · · · · · · · ·				1	
	AH						ļ	
	AI						<u> </u>	
	AJ						ļ	<u> </u>
	ÁK				<u> </u>			
				FOREIGN PA	ATENT DOCUMENTS	- _T	- T	
EXAMINER INITIAL		DOC	UMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
	AL							Yes No
MANA	АМ							Yes No
	AN							Yes No
	AO							Yes No
	AP		·					Yes No
			OTHER (Includi	ng Author, Ti	tle, Date, Pertinent Pag	ges, etc.)		
	AR	<u>26</u>	Immobilized F	ibre (PMX-F).	in Removed from Hemoglo Followed by a New Turbi . Biotechnol. 20:457-46	dometric E	ndotoxin	Assay,"
	AS	<u>26</u>	Van Brunt, J. Publishing (M		for Bioprocesses," <i>Bio</i>	/Technolog	y 5:437-4	40, Nature
	AT	26			-level secretion of bio " J. Ind. Microbiol. 7:			
EXAMINER	<u> </u>		1			DATE CONS	IDERED	

THIRD SUPPLEMENTAL
INFORMATION DISCLOSURE STATEMENT

communication to Applicant.

ATTY. DOCKET NO. 1413.001000B/RWE/MT	APPLICATE CEIVED
APPLICANT Wainwright et al.	OCT 1 5 2002
FILING DATE December 3, 2001	GROUDECH CENTER 1600/2900

								- T TWOULDOO
				U.S. PAT	ENT DOCUMENTS			
EXAMINER INITIAL		DOC	UMENT NUMBER	DATÉ	NAME	CLASS	SUB- CLASS	FILING DATE
•	AA							
	AB							
	AC							
	AD							
	AE				•			
	AF							
	AG							
	АН							
	AI		**					
	AJ							
, ,	AK				*			
	1			FOREIGN PA	ATENT DOCUMENTS	- 1		
EXAMINER				Ĭ				
INITIAL	ļ	DOC	UMENT NUMBER	DATE .	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
	AL							Yes No
								Yes
	AM			·		<u> </u>		· No
	AN							Yes No
	1							Yes
	AO	ļ				1		No
	AP	,						Yes No
			OTHER (Includir	ng Author, Tit	le, Date, Pertinent Pag	es, etc.)		
	AR	<u>27</u>		n Shokakibyo (, "Quantitative Assay of Gakkai Zasshi 82:52-58,			
	AS	<u>27</u>	Zsebo, K.M., et al., "Protein Secretion from Saccharomyces cerevisiae Directed the Prepro-α-factor Leader Region," J. Biol. Chem. 261:5858-5865, The American Society of Biological Chemists, Inc. (1986)					
	AT	<u>27</u>			No. 1982-61860E/198230, nt Publication No. EP 0			
EXAMINER	-					DATE CONSI	DERED	

B. 🌨

FORM PTO-1449 THIRD SUPPLEMENTAL

INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO. 1413.001000B/RWE/MTT APPLICATE CE 09/998,780

OCT 1 5 2002

APPLICANT

Wainwright et al.

FILING DATE

GROUTECH CENTER 1600/2900

				D	ecember 3, 2001	1653			
	-			U.S. PAT	ENT DOCUMENTS				
EXAMINER INITIAL		DOCU	JMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE	
	AA	I					<u></u>		
	AB								
	AC						<u> </u>		
	AD								
- · · · · · · · · · · · · · · · · · · ·	AĖ		·						
	AF								
	AG		·					,	
	AH	<u> </u>							
	AI		· · · · · · · · · · · · · · · · · · ·					-	
	AJ	<u> </u>				_			
	AK							j.	
				FOREIGN PA	TENT DOCUMENTS			,	
EXAMINER INITIAL		DOCU	MENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
	AL		·					Yes No	
								Yes	
	AM		,			-		No Yes	
	AN	-						No	
	AO							Yes No	
	AP							Yes No	
			OTHER (Includin	q Author, Tit	le, Date, Pertinent Pag	es, etc.)	<u> </u>		
	AR	<u>28</u>	•	age translatio	on of Japanese Patent Pu		No. JP 59	-28474	
	AS								
,	AT								
EXAMINER	DATE CONSIDERED								